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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	T NO. CONFIRMATION N	
10/046,573	01/14/2002	David P. Gallo SR.	1242002A	1242002A 4971	
7590 09/21/2004			EXAMINER		
Victor A. Cardona			ROLLINS, ROSILAND STACIE		
Heslin Rothenberg Farley & Mesiti P.C. 5 Columbia Circle			ART UNIT	PAPER NUMBER	
	Albany, NY 12203		3739		
			DATE MAILED: 09/21/2004	4	

Please find below and/or attached an Office communication concerning this application or proceeding.

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## Office Action Summary

Application No.	Applicant(s)	Applicant(s)			
10/046,573	GALLO, DAVID P.				
Examiner	Art Unit				
Rosiland S Rollins	3739				

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**Period for Reply** 

#### A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.

<ul><li>If the</li><li>If NO</li><li>Failu</li><li>Any r</li></ul>		(30) days, a statutory per ly will, by sta	reply within the stat iod will apply and w atute, cause the app	ill expire lication t		
Status						
1)🛛	Responsive to communication(s)	filed on 1	12 May 2004 .		· · · · · · · · · · · · · · · · · · ·	
2a)⊠	This action is <b>FINAL</b> .	2b)□	This action is	non-fi	înal.	
3)□ Dispositi	Since this application is in condition closed in accordance with the praion of Claims				ormal matters, prosecution as to the merits is , 1935 C.D. 11, 453 O.G. 213.	
·		annline	tion			
, —	Claim(s) <u>1-12</u> is/are pending in the	• •				
_	4a) Of the above claim(s) is/		arawn irom co	nsider	ration.	
	Claim(s) <u>1-5 and 8-11</u> is/are allowed					
	Claim(s) <u>6,7 and 12</u> is/are rejected	•			,	
	Claim(s) is/are objected to.					
	Claim(s) are subject to restr	iction an	d/or election r	equire	ement.	
	on Papers	_				
	The specification is objected to by the					
10)	The drawing(s) filed on is/are			-	•	
44)[] -		_			eld in abeyance. See 37 CFR 1.85(a).	
11)[					ed b) disapproved by the Examiner.	
40\-	If approved, corrected drawings are re		· •	ffice ac	ction.	
	The oath or declaration is objected t	o by the	Examiner.			
	ınder 35 U.S.C. §§ 119 and 120				•	
	Acknowledgment is made of a clair	n for fore	eign priority un	ider 35	5 U.S.C. § 119(a)-(d) or (f).	
a)[	☐ All b)☐ Some * c)☐ None of:				·	
	1. Certified copies of the priority	/ docum	ents have bee	n rece	eived.	
	2. Certified copies of the priority	/ docum	ents have bee	n rece	eived in Application No	
	application from the Inter	national	Bureau (PCT	Rule 1		
	see the attached detailed Office acti				•	
					35 U.S.C. § 119(e) (to a provisional application	).
·	) $\square$ The translation of the foreign la Acknowledgment is made of a claim	-	•	•		
ttachment	c(s)					
) 🔲 Notice	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review ( nation Disclosure Statement(s) (PTO-1449)		s)	4)	Interview Summary (PTO-413) Paper No(s)  Notice of Informal Patent Application (PTO-152)  Other:	

3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 1. Claim 6 is rejected under 35 U.S.C. 102(b) as being anticipated by Lichtman (5620459). Lichtman discloses a method of ratcheting the closure of a pair of jaws of an endoscopic grasping tool surgical instrument comprising the steps of: providing a pivotally mounted actuator handle (14) operatively coupled to the jaws (2), the handle having a drive head (36) which moves distally to effect jaw closure and said drive head having teeth (71) formed thereon; and providing a pawl (73) positioned for engagement with the teeth so as to prevent proximal movement of the drive head after it has moved distally (col. 9 lines 29-52).

#### Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 7 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lichtman. Lichtman teaches all of the limitations of the claims except the pawl comprising two tines of unequal length. It would have been obvious to one having

ordinary skill in the art at the time the invention was made to provide a second tine, since it has been held that mere duplication of essential working parts of a device involves only routine skill in the art.

## Response to Arguments

Applicant's arguments filed May 12, 2004have been fully considered but they are not persuasive. Applicant argues that Lichtman does not disclose a handle that has a drive head, which includes teeth formed thereon. Applicant is directed towards reference (36) of the figures, which illustrates a drive head including teeth formed thereon.

### Allowable Subject Matter

Claims 1-5 and 8-11 are allowed.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rosiland S Rollins whose telephone number is 703/3082711. The examiner can normally be reached on Mon.-Fri. 9:00 AM - 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda C. Dvorak can be reached on 703/3080994. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Rosiland S Rollins
Primary Examiner
Art Unit 3739

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